

## FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

In re Application of: Lake et al.

Attention: Application Branch

Serial No.: 10/029,125

Date: April 1, 2002

Filed: December 28, 2001

Attorney Docket No.: KCX-487-B (17429-B)

Confirmation No.: 2801

Art Unit: 3653

Title: Rolled Web Products Having A Web Wound  
In An Oscillating Fashion

Our Account No.: 04-1403

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

[X] Rule 53 signed Declaration enclosed.  
 [ ] This is a Rule 47 filing per attached Petition.  
 [ ] Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.  
 [ ] Specification originally filed in non-English language; hence verified translation attached of:  
 [ ] Abstract [ ] pages of Specification (only spec. & claims) [ ] Drawing Figs.  
 [ ] sheet(s) of formal drawings enclosed: size: [ ] A4 [ ] 14" [ ] 8½ by 11  
 [X] Attached is an assignment to Kimberly-Clark Worldwide, Inc.  
 [ ] Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).  
 \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively.  
 Certified copy (copies) [ ] attached; [ ] already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_.  
 [ ] "Small Entity" status under Rules 9 & 27 claimed. [ ] Attached: Verified Statement(s) establishing "small entity."  
 [X] Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.  
 [X] Attached: Information Disclosure Statement and List with References  
 [ ] Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED****PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee .....	\$ 740.00
Total Effective Claims 22 - 20 = 2 x \$18.00 =	\$ 36.00
Independent Claims 3 - 3 = 0 x \$84.00 =	\$
If any proper multiple dependent claim (ignore improper) is present, add \$280.00	\$
Filing Fee	\$

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of \_\_\_\_\_, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440).  
 \_\_\_\_\_

If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$ 130.00
Subtotal	\$
If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract	-
Total	=
If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00	\$
If "assignment" box is X'd, add recording fee (\$40.00)	\$ 40.00
If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h)	\$
<b>TOTAL FEE ENCLOSED</b>	<b>\$ 946.00</b>

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

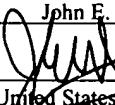
## ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Phone: 864-271-1592  
Facsimile: 864-233-7342

## DORITY &amp; MANNING

## ATTORNEYS AT LAW, P.A.

By Atty: John E. Vick, Jr. Reg. No. 33,808

Signature: 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

April 1, 2002

Terry Ballew

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)



MPK  
PATENT

ATTORNEY DOCKET NO.: KCX-487-B (17429-B)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: Not known  
Andrew M. Lake et al. )  
 )  
Serial No.: 10/029,125 ) Examiner: Not known  
 )  
Filed: December 28, 2001 ) Our Cust. No.: 22827  
 )  
Title: Rolled Web Products Having A )  
Web Wound In An Oscillating Fashion )

Commissioner of Patent and Trademarks  
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

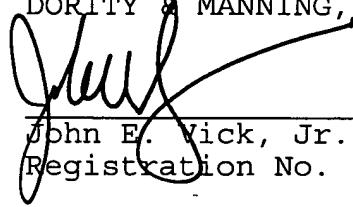
We are enclosing the attached documents as listed below:

- (1) Filing Completion Form
- (2) Fee Transmittal for FY 2002
- (3) Requested return copy of PTO Notice
- (4) Recordation Form Cover Sheet
- (5) Assignment
- (6) Declaration
- (7) Transmittal Letter for IDS
- (8) Information Disclosure Statement and List
- (9) References
- (10) Formal Drawings (10)

Please charge any additional fees required by this Amendment to  
Deposit Account No. 04-1403.

Respectfully requested,

DORITY & MANNING, P.A.



John E. Vick, Jr.  
Registration No. 33,808

DORITY & MANNING, P.A.  
P.O. Box 1449  
Greenville, SC 29602-1449  
Phone: (864) 271-1592  
Facsimile: (864) 233-7342

Date: April 1, 2002



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 946.00)

## Complete if Known

Application Number	10/029,125
Filing Date	12/28/2001
First Named Inventor	Lake et al.
Examiner Name	Not known
Group Art Unit	3653
Attorney Docket No.	KCX-487-B (17429-B)

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **04-1403**  
Deposit Account Name **Dority & Manning, Attorneys at Law, P.A.**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370 Utility filing fee	<b>740.00</b>
106	330	206	165 Design filing fee	
107	510	207	255 Plant filing fee	
108	740	208	370 Reissue filing fee	
114	160	214	80 Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20** = 2	x 18.00	<b>36.00</b>
Independent Claims	3 - 3** = 0	x 0.00	<b>0.00</b>
Multiple Dependent			<b>0.00</b>

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 36.00)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	<b>130.00</b>
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	<b>40.00</b>
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	John E. Vick, Jr.	Registration No. (Attorney/Agent)	33,808	Telephone	(864) 271-1592
Signature				Date	04/01/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

+ S

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,125	12/28/2001	Andrew M. Lake	KCX-487B (17429B)

## CONFIRMATION NO. 2801

## FORMALITIES LETTER



\*OC00000007395183\*

John E. Vick, Jr.  
 Dority & Manning  
 Attorneys at Law, P.A.  
 P.O. Box 1449  
 Greenville, SC 29602

Date Mailed: 01/31/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/09/2002 BABRAHA1 00000080 10029125

## FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	36.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.  
 ■ \$36 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 906.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE